Substitute Form PTO-1449 Agdified)

§1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 25886-0062 (17105-026001)

Application No. 09/760,362

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant J. Chen

Filing Date January 12, 2001 Group Art Unit 1644

U.S. Patent Documents

Initial ID Number		Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
Trut	A	RE37,180	05/15/01	Mori et al.	-514	410	04/29/99
	В	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
	С	2003/0018371	01/23/03	Chen	-607	88	07/13/01
	D	2003/0030342	02/13//03	Chen et al.	310	102	12/13/01
	E	2003/0109813	06/12/03	Chen	601	2	12/10/02
	F	2003/0114434	06/19/03	Chen et al.	514	185	08/3-1/99
	G	2003/0167033	09/04/03	Chen et al.	604	20	-01/23/03
	H	2003/0208249	11/06/03	Chen	607	88	04/08/03
	I	2004/0044197	03/04/04	Pandey et al.	540	140	06/27/03
	J	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
	K	4,521,762	06/04/85	Kapral	340	347	12/20/82
	L	4,577,636	03/25/86	Spears	128	654	01/27/84
	M	4,753,958	06/28/88	Weinstein et al.	`514	410	06/25/86
	N	4,861,876	08/29/89	Kessel	-540	145	11/26/86
	0	4,878,891	11/07/89	Judy et al.	604	5	06/25/87
	P	4,957,481	09/18/90	Gatenby	604	20	07/03/89
	Q	4,997,639	03/05/91	Aizawa et al.	424	9	-11/27/8 9
	R	5,028,594	07/02/91	Carson	514	23	12/27/88
	S	5,041,078	08/20/91	Matthes et al.	604	4	12/21/89
	T	5,053,006	10/01/91	Watson	604	-52	04/02/90
	U	5,298,018	03/29/94	Narciso, Jr.	604	21	08/14/92
	V	5,308,861	05/03/94	Aizawa et al.	514	410	04/29/92
	W	5,330,741	07/19/94	Smith et al.	424	9	02/24/92
	x	5,368,841	11/29/94	Trauner et al.	424	9	02/11/93
	Y	5,430,051	07/04/95	Aizawa et al.	514	410	04/19/94
	Z	5,441,531	08/15/95	Zarate et al.	607	90	10/18/93
	AA	5,459,159	10/17/95	Pandey et al.	514	410	05/05/94
	AB	5,484,803	01/16/96	Richter		410	02/02/95
	AC	5,498,710	03/12/96	Pandey et al.	540	145	04/22/94
$\sqrt{}$	AD	5,500,009	03/19/96	Mendes et al.	607	88	09/27/93

Examiner Signature

Date Considered

EXAMINER: Inftials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)

§1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 25886-0062 (17105-026001)

Application No. 09/760,362

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant J. Chen

Filing Date January 12, 2001 **Group Art Unit** 1644

U.S. Patent Documents

Evania:	Desig	Degrander		ent Documents			T
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Puk	PAK AE 5,514,669 05/07/96		Selman	_514	.63	09/29/93	
1	AF	5,534,506	07/09/96	Morgan et al.	514	185	_06/20/94
	AG	5,549,660	08/27/96	Mendes et al.	.607	-088	11/12/92
	AH	5,556,612	09/17/96	Anderson et al.	424	-59	03/15/94
	AI	5,567,409	10/22/96	Aizawa et al.	424	9.363	12/12/94
	AJ	5,591,847	01/07/97	Pandey et al.	540	472	05/23/94
	AK	5,594,136	01/14/97	Sessier et al.	540	472	05/03/95
	AL	5,705,518	01/06/98	Richter et al.	514	410	02/06/95
	AM	5,736,563	04/07/98	Richter	514	410	11/08/95
	AN	5,770,619	07/23/98	Richter et al.	514	410	1-1/01/95
	AO	5,824,080	10/20/98	Lamuraglia	623	11	09/04/96
	AP 5,829,448 11/03/98		11/03/98	Fisher et al.	128	-898	10/30/96
	AQ	5,832,931	11/10/98	Wachter et al.	128	898	10/30/96
- Security Security	AR	5,851,225	12/22/98	Lawandy	607	-88	11/13/96
	AS	5,864,035	01/26/99	Pandey et al.	540	472	03/06/97
	AT	5,877,279	03/02/99	Goldberg	530	350	10/13/94
	AU	5,913,884	06/22/99	Trauner et al.	607	-88	10/31/96
	AV	5,942,534	08/24/99	Trauner et al.	514	410	10/10/97
	AW	5,944,748	08/31/99	Mager et al.	607	88	07/25/97
	AY	5,945,439	08/31/99	Richter et al.	514	410	07/02/97
	AZ	5,952,366	09/14/99	Pandey et al.	514	410	06/22/98
	BA	5,955,585	09/21/99	Scherz et al.	530	408	06/05/95
	вв	5,976,535	11/02/99	Fritzberg et al.	424	182.1	-06/06/95
	вс	5,994,535	11/30/99	Sessler et al.	540	472	02/26/98
	BD	5,998,597	12/07/99	Fisher et al.	536	-23.1	12/11/97
	BE	6,036,941	03/14/00	Bottiroli et al.	424	9.6	05/11/9 8
	BF	6,048,359	04/11/00	Biel	69.7	92	08/25/98
	BG	6,090,788	07/18/00	Lurie	514	23	06/30/99
j	ВН	6,107,466	08/22/00	Hasan et al.	530	351	09/24/98
V	BI	6,117,862	09/12/00	Margaron et al.	514	185	11/09/98
				· · · · · · · · · · · · · · · · · · ·	ــــــــــــــــــــــــــــــــــــــ		

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 25886-0062 (17105-026001) Application No. 09/760,362

Information Disclosure Statement by Applicant (Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant J. Chen

Filing Date January 12, 2001

Group Art Unit 1644

U.S. Patent Documents

			Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
12	数 m	BĴ	6,123,923	09/26/00	Unger et al.	424	9.52	12/18/97
	h 22	BK	6,152,951	11/28/00	Hashimoto et al.	607	92	02/17/98
	<u> </u>	BL	6,162,242	12/19/00	Peyman	607	88	01/21/99
643	, see	ВМ	6,187,030	02/13/01	Gart et al.	607	93	11/05/98
		BN	6,217,869	04/17/01	Meyer et al.	424	178.1	09/05/97
		ВО	6,602,274	08/05/03	Chen	607	88	03/18/99
		BP	6,624,187	09/23/03	Pandey et al.	514	410	06/12/00
	$\sqrt{}$	BQ	6,657,351	12/02/03	Chen et al.	3.10	171	07/20/01

	Foreig	n Patent Do	cuments or F	Published Foreign	Patent A	Application	าร		
Examiner	Desig.	Document	Publication	Country or			Translation		
Initial	ID_	Number	Date	Patent Office	Class	Subclass	Yes	No -	
Put	BR	99/67249 A1	12/29/99	PCT					
_ 1	BS	00/61584 A1	10/19/00	PCT					
	BT	03/052793A2	06/26/03	PCT					
	BU	92/00106	01/09/92	PCT					
	BV	97/31582	09/04/97	PCT					
	вw	2004/002476 A2	01/08/04	PCT					
V	BX	2004/005289 A2	01/15/04	PCT				The second second	

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Desig.		Document					
Pull	BY	Boulton et al., "VEGF localisation in diabetic retinopathy," Br. J. Opthalmol. 82:561-568 (1998)					
	BZ	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e ₄ and Mesochlorin e ₆]," Berischte der Deutschen Chemischen 75:1778-1795 (1942)					
	CA	Chen et al., "New directions in photodynamic therapy," ICCP-2. 2nd International Conference on Porphyrins and Phthalocyanines, 2002 30 June - 5 July; Kyoto, Japan: 78 [abstract S-26]					
	CB	Chen et al., "New technology for deep light distribution in tissue for phototherapy," Cancer J 8(2):154-163. (2002)					
	CC	Chen et al., "Next-generation light delivery system for multitreatment extended-duration photodynamic therapy (MED-PDT)," Proc SPIE 2972:161-166 (1997).					
	CD	Database Crossfire Beilstein, Database Acession No. 4286587 (Reaction ID), for Levinson, E.G. et al., Russ. J. Bioorg. Chem (Engl. Transl.) 21(3):199-203 (1995) in Russian in the Bioorg. Khim. 21(3):230-234 (1995)					

Examiner Signature	Date Considered / /
26 N 21	2/x/~/
EXAMINER: Initials citation considered. Draw line through citation if with next communication to applicant.	not in conformance and not considered. Include copy of this form
V	Substitute Disclosure Form (PTO-1449)

	Substitute For (Modified)	m PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 25886=0062 (17105-026001)	Application No. 09/760,362							
:	In		n Disclosure Statement by Applicant	Applicant								
			veral sheets if necessary)	J. Chen								
	(37 CFR §1.98	3(b))		Filing Date	Group Art Unit							
				January 12, 2001	1644							
			ocuments (include Author,	litle, Date, and Place	or Publication)							
	Examiner Initial	Desig.		Document								
611	PEPO CE		published February 27, 1996, "Produ	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours – comprises extracting vegetable waste with ethanol, oxidative splitting, degreasing and purifying".								
MUL	1 67 3		Devoisselle, J.M et al., "Effect of inde fluorescence kinetic profile of skin," I Barcelona, Spain SPIE proceedings s	Devoisselle, J.M et al., "Effect of indocyanin green formulation on blood clearance and in vivo fluorescence kinetic profile of skin," Proceedings of optical biopsies: 14-15 September 1995, Barcelona, Spain SPIE proceedings series, v. 2627 pp.100-108 (1995)								
A 4.84.4	TH VIUE MY SE	CG	Dougherty et al., "Photosensitization (1984)									
		СН	Fischer, H. et al., "[On the Bromination Berischte der Deutschen Chemischen	75:1778-1795 (1942)								
		CI	Haslam et al., "Recent Developments Carboxyl Group," Tetrahedron 36: 24	109-2433 (1980)								
		CJ	of Pyropheophorbide Derivatives as P 57:4000-4007 (1997)	titative Structure-Activity Relationship for a Congeneric Series Photosensitizers for Photodynamic Therapy," Cancer Research								
		CK	58(2):200-203 (1993)	th Bacteriochlorins," Photochemistry and Photobiology								
		CL		Porphyrins, Chlorins, Phthalocyanines, and Purpurins: Second-todynamic Therapy," Seminars in Hematology 26(2):157-173								
		СМ	and bacteriochlorins", J. Chem. Soc. F	eagent in preparing novel perfluorinated porphyrins, chlorins Perkin Trans 1, 1785-1787 (1999)								
		CN	with Ester, Ether, Carbon-Carbon or A (1996)	vivo Sensitizing Efficacy of Porphyrin and Chlorin Dimers Joined on or Amide Bonds," Journal of Molecular Recognition 9:118-122								
		СО	"Porphyrin Derivative and Use Thereo									
		СР	Antibody Inhibits Tumor Angiogenes Research 59:5209-5218 (1999)	helial Growth Factor Receptor (Fetal Liver Kinase 1) Monoclonal nesis and Growth of Several Mouse and Human Tumors," Cancer								
		cQ	Rakestraw et al., "Antibody-targeted p bound to monoclonal antibodies using 87:4217-4221 (1990)	a modified dextran carrier," Pr	oc. Natl. Acad. Sci. USA							
		CR	Schmidt-Erfurth et al., "Photodynamic and angiographic examples," Graefe's	s Arch Clin Exp Opthalmol. 236	5:365-374 (1998)							
		CS	Schmidt-Erfurth et al., "Vascular Targ Opthalmology 101:1953-1961 (1994)									
		СТ	Smith et al., "Bacteriochlorophylls c fi Studies of the Pheophorbides and Der 102(7):2437-2448 (1980)	ivatives, " Journal of the Ameri	ican Chemical Society							
		CU		nlorophyll derivatives: direct route for transformation of opheophorbides c," Journal of the American Chemical Society								
	V	CV	Spikes, J.D., "Porphyrins and Related York Academy of Sciences 244:496-5		Sensitizers," Annals of the New							

Examiner Signature		Date Considered	
10 h 10 11	we we have a second	8/2/04	
EXAMINER: Initials citation considered	Draw line through citation if no	ot in conformance and not considered. Include of	opy of this form
with next communication to applicant			
		Substitute Disclosure	Form (PTO-1440)

	Substitute Form (Modified)		Patent and Trademark Office	Attorney's Docket No. 25886-0062 (17105-026001)	Application No. 09/760,362					
	in	1	n Disclosure Statement by Applicant veral sheets if necessary)	Applicant J. Chen						
	(37 CFR §1.98	3(b))		Filing Date January 12, 2001	Group Art Unit 1644					
*	(Other D	ocuments (include Author,	Title, Date, and Plac	e of Publication)					
	Examiner Initial	Desig. ID		Document						
OTP	E EN	CW	Tumoricidal Ability of Ester versus A 10:123-127 (2000)	Zheng et al., "Photosensitizers Related to Purpurin-18-N-alkylimides: A Comparative in vivo Tumoricidal Ability of Ester versus Amide Functionalities", Bioorganic & Med. Chem. Letts., 10:123-127 (2000)						
., JUN ²	3 7	сх	Zheng et al., "Chlorin-based symmetrical and unsymmetrical dimers with amide linkages: effect of the substituents on photodynamic and photophysical properties," J. Chem. Soc. Perkins. Trans. 1, 3113-3121 (2000)							
عد ۽ _{هريي}	WUEM WIFE									

Examiner Signature

Date Considered

EXAMINER: Initials citation considered, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR ARELICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.				
25886-0062	09/760,362				
APPLICANT	CUSTOMER NO.				
JAMES CHEN	24961				
FILING DATE	GROUP				
January 12, 2001	1644				

PATENT DOCUMENTS

TRADELAL									T DOCUM	1	1		
EXAMINER INITIAL			D	OCUM	1ENT 1	NUMBI	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	Α	20	01	00	46	9	8	3	A1 11/29/01	Pandey <i>et al.</i>	514	185	12/18/0
	В	20	01	00	49	5	Q.	2	A1 12/06/01	Chen	604	167 06	11/25/9
	С	20	02	00	10	5	0	0	A1 01/24/02	Chen	607	89	06/24/9
	D	4	9	3	2	9	3	4	06/12/90	Dougherty et al.	604	21	08/24/8
	E	5	0	0	2	9	6	2	03/26/91	Pandey et al.	514	410	07/20/8
	F	5	1	7	1	7	4	9	12/15/92	Levy et al.	514	410	07/20/9
	G	5	2	8	3	2	5	5	02/01/94	Levy et ak	514	410	09/11/9
	Н	5	3	1	4	9	0/	5	05/24/94	Paneley et al.	514	410	11/09/9
	ı	5	3	9	9	5	8	3	03/21/95	Levy et al.	514	410	01/25/9
	j	5	4	7	4	1	6	5	12/12/85	Thorpe	424	178.17	03/23/9
	К	5	4	8	4.0	1/7	7	8	01/16/96	Kenney et al.	514	63	09/02/9
	L	5_	4	эN	74	7	9	3,/	02/27/96	Schindele et al.	435	6	06/14/8
	М	5	5	$\sqrt{1}$	4	6	6,	9	05/07/96	Selman	514	63	09/29/9
	Ν	5	5 🕅	4	3	5,	1	4	08/06/96	Sessier et al.	540	472	04/06/9
il desired	0	5	5	6	5/	5	5	2	10/15/96	Magda <i>et al</i> .	534	11	06/07/9
	Р	5	5	7/	1	1	5	2	11/05/96	Chen et al.	607	92	05/26/9
	a	5	7/	0	2	4	3	2	12/30/97	Chen et al.	607	88	10/03/9
	R	5_/	7	0	5	5	1	8	01/06/98	Richter et al.	514	410	02/16/9
	S /	5	7	1	5	8	3	7	02/10/98	Chen	128	899	08/29/9
	T	5	7	3	6	5	6	3	04/07/98	Richter	514	410	11/08/9
	U	5	7	4	1	3	1	6	04/21/98	Chen et al.	607	61	12/02/9
part of the same o	V	5	7	6	6	2	3	4	06/16/98	Chen et al.	607	92	04/16-9
/	W	5	7	8	2	8	9	6	07/21/98	Chen et al.	607	88	01/29/9

EXAMINER: Initial if chation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

August 25, 2003 - REPLACEMENT COPY Originally Filed April 8 2002

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
25886-0062	09/760,362
APPLICANT	CUSTOMER NO.
JAMES CHEN	24961
FILING DATE	GROUP
January 12, 2001	1644

AUG 2 5 2003 🚭

PATENT DOCUMENTS

BADEM	ABR						FA	IEN	I DOCOM	INIO			,
EXAMINER INITIAL			D	OCUM	MENT	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATË
	×	5	7	9	8	3	4	9	08/25/98	Levy et al.	514	185	02/17/95
	Y	5	8	0	0	4	7	8	09/01/98	Chen et al.	607	88	03/07/96
	z	5	8	0	7	8	8	1	09/15/98	Leong <i>ét ál.</i>	514	9/0	09/22/94
	AA	5	8	1	4	0	0	8	09/29/98	Chen et al.	604	21	07/29/96
	AB	5	8	1	7	0	4	8	10/06/98	Lawandy	604	20	03/20/97
	AC	5	8	2	7	1	8	6	10/27/98	Chen et al.	600	407	04/11/97
True d	AD	5	8	2	9	4	4	8	11/03/98	Dees et al.	128	898	10/30/98
	AE	5	8	6	5	8	4	0	02/02/99	Chen	607	92	10/22/97
	AF	5	8	7	6	4	2	7	03/02/99	Chen et al.	607	88	01/29/97
	AG	5	8	8	2	3	2	8	03/16/99	Jevy et al.	604	20	12/02/96
	АН	5	9	1	3	8	8	4	06/22/99	Trauner et al.	607	88	10/31/96
	AI	5	9	2	1	2	4	14	07/1/3/99	Chen et al.	128	897	06/11/97
	AJ	5	9	2	9	1 /	8,2	5	07/27/99	Dolphin <i>et al</i> .	514	410	06/01/98
	AK	5~	9	4	2	1/5/	3	A	08/24/99	Trauner et al.	514	410	10/10/97
100	AL	5	9	4	N	12	6	2	08/31/99	Chen et al.	310	171	02/10/98
· · · · · · · · · · · · · · · · · · ·	AM	5	9	5	7	Je (6	0	09/28/99	Chen et al.	607	92	05/05/97
CONTROL CONTRO	AN	5	9	9	7	5	6	9	12/07/99	Chen et al.	607	88	01/29/97
	AO	5	9	9.	7	8	4	2	12/07/99	Chen	424	1.29	04/13/98
	AP	6	9/	1	5	8	9	7	01/18/00	Theodore et al.	540	474	05:13 96
	AQ	6/	0	5	8	9	3	7	05/09/00	Doiron et al.	128	898	07/13/97
	AR	6	0	8	0	1	6	0	06/27/00	Chen et al.	606	72	12/04/96
	AS	6	Ö	8	3	4	8	5	07/04/00	Licha <i>et al.</i>	424	9.6	11/07/97
	AT	6	0	9	2	5	3	1	07/25/00	Chen et al.	128	899	06.03 99
	AU	6	0	9	6	0	6	6	08/01/00	Chen et al.	607	88	09/11/98

Phot

8/15/of

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

margini + L

August 25, 2003 - REPLACEMENT COPY Originally Filed April 8, 2002

SERIAL NO. ATTY, DOCKET NO. FORM PTO-1449 (Modified) 09/760,362 25886-0062 CUSTOMER NO. **APPLICANT** IST OF PATENTS AND PUBLICATIONS FOR 24961 JAMES CHEN PPICANT'S INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 1644 January 12, 2001

PATENT DOCUMENTS

CADEMA									1 0000				
EXAMINER INITIAL			۵	OCUM	1ENT	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AV	6	1	0	0	2	9	0	08/08/00	Levy et al.	514	410	09/14/98
	AW	6	1	0	7	3	2	5	08/22/00	Chan et al.	514	410	05/18/98
	AX	6	1	3	8	6	8	1	10/31/00	Chen et al.	128	899	10/13/97
	AY	6	2	1	0	4	2	5	04/03/01	Chen	607	88	07/08/99
	AZ	6	2	1	7	8	6	9	04/17/01	Meyer et al.	424	178.1	09/05/97
	ВА	6	2	3	8	4	2	6	05/29/01	Chen	607	88	07/19/99
	B8	6	2	7	3	9	0	4	B1 08/14/01	Chen et al.	607	88	03/02/99
	BC	6	2	9	7	2	2	8	10/02/01	Cjark et al.	514	177	12/02/99
	BD	6	3	1	9	2	7	3	81	Chen et al.	607	88	12/16/99
	BE	6	3	3 _	1	7	4	140) B1 12/18/01	Chen et al.	310	171	04/11/00
	BF	6	3	4	4	10	5/	(0)	02/05/02	Chen	607	88	12/21/98

FOREIGN PATENT DOCUMENTS Translation SUB DOCUMENT NUMBER DATE COUNTRY CLASS CLASS Yes 03/23/00 PCT 5 6 0 0 1 BG PCT 9 3 06/29/00 вн Æ 8 7 2 5 07/20/00 PCT 1 ВΙ 07/20/00 PCT 7 2 6 Q, 4 BJ 4 7 2 7 07/20/00 PCT 0 0 1 ΒK 16 0 4 1 7 6 07/20/00 PCT ΒL PCT 01/18/01 0 3 7 7 Q BM/ 01/25/01 PCT ∕BN. 3 6 5 1 PCT

03/08/01

KAIVIINER

во

.... . WISDENEL

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation it not in conformance and not considered. Include copy of this form with next communication to applicant.

9

4

Title NOVEL TREATMENT FOR EYE DISEASE

August 25, 2003 - REPLACEMENT COPY Originally Filed April 8, 2002

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR PEAPPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY: DOCKET NO.	SERIAL NO.
25886-0062	09/760,362
APPLICANT	CUSTOMER NO.
JAMES CHEN	24961
FILING DATE	GROUP
January 12, 2001	1644

	, 						FORE	GN PA	TENT DOCUM	MENTS				
BADEMBAL			C	OCUN	MENT	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Tran Yes	slation No
	BQ	0	1	7	5	6	1	7	03/26/86	EP (A2, B1)				
	BR	0	4	0	7	1	2	2	01/09/91	EP (A1, B1)				
	85	0	6	7	4	2	5	1	12/12/96	AU				
	ВТ	0	6	9	4	8	6	8	07/30/98	AU				
	BU	0	7	0	8	4	1	0	08/05/99	AU				
	BV	0	7	1	3	2	2	7	11/25/99	AU				
	BW	0	7	2	0	8	1	5	06/15/00	AU				
	вх	0	7	2	1	8	5	7	07/13/00	AU				
	ВҮ	9	3	1	1	6	5	7	96/24/93	PCT				
	BZ	9	4	0	6	4	2	4/	03/31/94	РСТ				
	CA	9	5	3	2	0	0/	(م 1	11/30/95	PCT				
	СВ	9	6	0-	6	6	n ⁴	1	98/07/96	PCT				
	СС	9	7	3	À	5V	1/2/	9/	09/12/97	РСТ				
	CD	9	7	4	0	/6	7	9	11/06/97	PCT				
	CE	9	7	4	6	2	6	2	12/11/97	PCT				
	CF	9	8	0	6	4	5	6	02/19/98	РСТ				
	ÇĞ	9	8	0	/8	5	6	5	03/05/98	PCT				
	СН	9	8	1	4	2	4	3	04/09/98	РСТ				
	CI	9	8	2	4	3	7	1	06/11/98	РСТ				-
	CJ	9/	8	2	4	5	1	0	06/11.98	PCT				
	СК	9	8	3	2	4	9	1	07;30/98	PCT				1-1-1-1
	el	9	8	3	2	4	9	2	07/30/98	РСТ				
	СМ	9	8	3	2	4	9	3	07/30/98	PCT				
	CN	9	8	4	6	1	3	0	10/22/98	PCT				

EXAMINER: Initial ificitation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

August 25, 2003 - REPLACEMENT COPY - Originally Filed April 8, 2002

ATTY, DOCKET NO. SERIAL NO. FORM PTO-1449 (Modified) 09/760,362 25886-0062 CUSTOMER NO. **APPLICANT** LIST OF PATENTS AND PUBLICATIONS FOR OTP ERPLICANT'S INFORMATION DISCLOSURE STATEMENT JAMES CHEN 24961 **GROUP** FILING DATE January 12, 2001 1644

FOREIGN	PATENT	DOCUMENTS

	7						FORE	GN PA	ATENT DOCUM	MEN I S			
RADEMAT			C	OCUN	MENT	NUME	BER		DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No
	со	9	8	4	7	5	4	1	10/29/98	РСТ			
	СР	9	8	5	0	0	3	4	11/12/98	PČT			
	ca	9	8	5	0	3	8	7	11/12/98	PCT			
	CR	9	8	5	2	6	1	0	11/26/98	PCT			
	cs	9	8	5	6	3	0	2	12/17/98	PCT			
	CI	9	9	U	3	5	0	3	01/28/99	PCT			
	ĊU	9	9	1	8	8	7	9	04/22/99	PCT			
	CV	9	9	2	0	3	4	6	04/29/99	РСТ			
	cw	9	9	3	9	7	6	9	08/12/99	рст			
	сх	9	9	5	2	5	6	5/	10/21/99	РСТ			
	CY	9	9	5	8	1	4/\-	2.	11/1/8/99	РСТ			
	cz	9	9	6	6	9	N	٦8	12/29/99	PCT			

OTHER ART (Including Arthor, Title, Date, Pertinent Pages, Etc.)

0	Tren Air (moraging nearest transport statement again, and
DA	Adili et al., "Local delivery of photosensitizing drugs in arteries: a novel approach to photodynamic therapy for the prevention of intimal hyperplasia", <i>Proc. SPIE-INT. Scc. Opt. Eng.</i> , 2395:402-408 (1995)
DB	Birchler et al., "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", Nature Biotechnol., <u>17</u> :984-988 (1999)
DC	Chen, J., "Next Generation Light Delivery System for Multi-Treatment Extended Duration Photodynamic Therapy (MED-PDT), SPIE-Proceedings Series, 2972:161-167 (1997)
DD	Ciulla et al., "Changing therapeutic paradigms for exudative age-relted macular degeneration; antiangiogenic agents and photodynamic therapy", Exp. Opin. Invest. Drugs, 8(12):2173-2182 (1999)
DE	Dartsch et al., "Photodynamic therapy of vascular stenoses: Response of cultured human smooth muscle cells from non-atherosclerotic arteries and atheromatous plaques following treatment with photosensitizing porphyrins", Proc. SPIE-INT. Sec. Opt. Eng., 1162:77-80-1990

* MINISTER

EXAMINER: Initial of citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 ${\rm Fither}$ Novel treatment for eye disease

August 25, 2003 - REPLACEMENT COPY - Originally Filed April 8, 2002

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0062	SERIAL NO. 09/760,362
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT JAMES CHEN	CUSTOMER NO. 24961
TPE STATEMENT	FILING DATE	GROUP 1644

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

o.	b O	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
RADEMA	DF	Dimitroff et al., "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074: Implications for combination treatment with photodynamic therapy", Investigational New Drugs, <u>17</u> :121-135 (1999)
	DG	Dougherty et al., Yearly Review "Photodynamic Therapy", Photochem. Photobiol., 58(6):895-900 (1993)
	DH	Dougherty et al., Review "Photodynamic Therapy", J. Natl. Cancer Inst., 90(12):889-905 (1998)
	DI	Ferrario et al., "Antiangiogenic Treatment Enhances Photodynamic Therapy Responsiveness in a Mouse Mammary Carcinoma", Cancer Research, 60:4066-4069 (2000)
	רם	Granville et al., "Photodynamic treatment with benzoporphyrin derivative monoacid ring A produces protein tyrosine phosphorylation events and DNA fragmentation in murine P815 cells", <i>Photochem. Photobiol.</i> , 67(3):358-362 (1998)
	DK	Jiang et al., "Enhanced Photodynamic Killing of Target Cells by Either Monoclonal Antibody or Low Density Lipoprotein Mediated Delivery Systems", J. Controlled Release, 19(1/3):41-58 (1992)
	DL	Jiang et al., "Selective depletion of a thymocyte subset in vitro with an immunomodulatory photosensitizer" Chincal Immunol., 91(2):178-187 (1999)
	DM	Marcus, S.L., "Photodynamic Tharapy of Human Cancer", <i>Proc.IEEE</i> , <u>80(6)</u> :869-889 (1992)
	DN	Margaron et al., "Photodynamic therapy inhibits cell adhesion without altering integrin expression", Biochem. Biophys. ACTA, 1359(3):200-210 (1997)
	DO	McMillan et al., "Tumor growth inhition and regression induced by photothermal vascular targeting and angiogenesis inhibitor retinoic acid", Cancer Lett., 137:35-44 (1999)
* *	DP	Meerovich et al., "Photosensitizer for PDT based on phosphonate phthalocyanine derivative", <i>Proc. SPIE-INT. Scc. Opt. Eng.</i> , 2924:86-90 (1996)
	DQ	Mew <i>et al.</i> , "Photoimmunotherapy: Treatment of Animal Tumors with Tumor-Specific Monoclonal Antibody-Hematoporphyrin Conjugates", <i>J. Immunol.</i> , 130(3):1473-1477 (1983)
	DR	Millson, C.E. et al. "Ex-Vivo Treatment of Gastric Helicobacter Infection by Photodynamic Therapy," J. Photochem. Photobiol. B: Biol., 32:59-65 (1996)
* *	DS	Nemoto et al., "Inhibition by a new bisphosphonate (YM175) of bone resorption induced by the MBT-2 tumour of mice". Brit. J. Cancer. 67(5):893-897 (1993)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

August 25, 2003 - REPLACEMENT COPY Originally Filed April 8, 2002

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 25886-0062	SERIAL NO. 09/760,362
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT JAMES CHEN	CUSTOMER NO. 24961
STATEMENT	FILING DATE January 12, 2001	GROUP 1644

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MADEMARI	DT	Pandey et al., "Shedding some light on tumours", Chem. Indust., 1998:739-743, (1998)
	DU	Renno et al., "Photodynamic Therapy Using Lu-Tex Induces Apoptosis In Vitro, and Its Effect is Potentiated by Angiostatin in Retinal Capillary Endothelial Cells", Investigative Opthalmol. & Visual Sci., 41(12):3963-3971 (2000)
	DV	Savellano <i>et al.</i> , "Pegylated BPD verteporfin C225 anti-EGF receptor direct covalent linkage photosensitizer immunoconjugates", <i>Photochem. Photobiol.</i> , 69(Spec. Issue):38S (1999)
	DW	Schmidt-Erfurth et al. Vivo Uptake of Liposomal Benzoporphyrin Derivative and Photothromoosiskin Experimental Corneal Neovascularization", Lasers in Surgery and Medicine, 17/179 188 (1995)
	XD	Schmidt-Erfurth et)al., "Photodynamic therapy of experimental choroidal melanoma using lipoprotein-delivered benzoporphyrin", Ophthalmol., 101(1):89-99 (1994)
	DY	Sharman et al., "Novel water-soluble phthalocyanines substituted with phosphonate moieties on the benzo rings", <i>Tetrahedron Lett.</i> , 37(33):5831-5834 (1996)
	02	Sternberg et al., "Porphyrin-based Photosensitizers for Use in Photodynamic Therapy", Tetrahedron, 54(17):4151-4202 (1998)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

SHARRINGER

FORM PTO-1449	ATTY. DOCKET NO. 25886-0062	SERIAL NO. 09/760,362	CONFIRM. NO. 1582	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James	CUSTOMER NO. 24961		
STATEMENT	FILING DATE January 12, 2001	GROUP 1644		

If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application, that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120, 37 C.F.R. § 1.98(d).

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	осим	IENT I	NUMB	ER		DATE	NAMÉ	CLASS	SUB	FILING DATE
Pruk	А	0	0	1	0	5	o	0	01/24/02	Chen	_607	- 89	- 06/24 /98
1/	В	0	0	1	8	3	7	1	01/23/03	Chen	607	88	07/ 13/01
	С	0	O	4	4	6	2	3	11/22/01	Chen	606	2	07/20/0
	D	O	0	4	9	5	0	2	12/06/01	Chen	604	167.06	11/25/9
	É	0	0	8	8	9	8	0	07/11/02	Wipiejewski	257	79	08/27/0
-	F	0	1	2	7	2	2	4	09/12/02	Chen	424	130-1	03/04/0
	G	0	1	2	7	2	3	0	09/12/02	Chen	424	178.1	-07/13/0
	Н	0	1	9	8	5	7	6	12/26/02	Chen	607	- 88	12/26/0
		3	8	1	7	8	3	7	06/18/74	Rubinstein et al.	_195	103.5	11/06/7
	J	4	3	7	4	9	2	5	02/22/83	Litman et al.	435	,	02/09/8
	К	4	7	5	3	9	5	8	06/28/88	Weinstein et al.	514	410	06/25/8
	L	4	8	6	1	8	7	6	08/29/89	Kessel	540	145-	-11/26/8
	М	4	9	6	1	9	2	0	10/09/90	Ward	424	9	05/1-6/8
	N	5	2	2	2	7	9	5	06/29/93	Hed	362	32	12/26/9
	0	5	5	0	3	6	3	7	04/02/96	Kyricos et al.	607	88	10/28/9
	Р	5	5	7	6	0	1	3	11/19/96	Williams et al.	424	423	03/21/9
	Ω	5	6	1	6	7	1	9	04/01/97	Davalian <i>et al.</i>	546	334	05/09 /9
	R	5	6	1	8	7	3	2	04/08/97	Pease et al.	+36	8	05/04/9
	S	5	6	2	4	9	0	9	04/29/97	Rao et al.	<u> 51+</u>	26	06/06/9
	T	5	7	7	0	6	1	9	06/23/98	Richter et al.	51-1-	410	11/01/9
	U	5	8	5	5	8	6	6	01/05/99	Thorpe et al.	12:1	1,49	-03/02/9
	V	5	9	7	6	5	3	5	11/02/99	Fritzberg et al.	424	182.1	06/06/9
	w	6	0	7	1	9	4	4	06/06/00	Rodgers et al.	514	408	" 11,12/9

Dy . No

Sprofot

EXAMINER. Initivit citation considered, whether or not all it on a model and indeed. CPLF 600 line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

⁺ Derwent English language abstract and/or English translation provided.

CONFIRM, NO. ATTY, DOCKET NO. SERIAL NO. **FORM PTO-1449** 09/760,362 1582 25886-0062 APPLICANT CUSTOMER NO. LIST OF PATENTS AND PUBLICATIONS FOR 24961 Chen, James APPLICANT'S INFORMATION DISCLOSURE STATEMENT **GROUP** FILING DATE January 12, 2001 1644

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER					DATE	NAME .	CLASS	SUB CLASS	FILING DATE		
DIW	X	6	2	8	1	6	1	1	08/28/01	Chen et al.	310	17+	04/11/00
1	Y	6	4	1	6	5	3	1	07/09/02	Chen	607	89	-06/2 4/98
	7	6	4	5	4	7	8	9	02/18/03	Chen et al.	362	545	06/19/00
ne -	AA	6	5	2	0	6	6	9	02/18/03	Chen et al.	362	545	06/19/00
	AB	6	5	5	4	8	5	3	04/29/03	Chen	607	88	07720/01
	AC	6	5	8	0	2	2	8	06/17/03	Chen et al.	315	185 R	08/22/00

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		DO	CUM	ENT N	IUMBE	R		DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes N
DIK	AD	0	0	1	2	5	3	8	03/09/00	РСТ			
1	AE	0	0	1	5	2	9	6	03/23/00	PCT			
	AF	0	0	4	1	7	2	5	07/20/00	PCT			
	AG	0	0	4	1	7	2	6	07/20/00	PCT			
	AH	0	0	4	1	7	2	7	07/20/00	РСТ			
	Al	0	0	4	1	7	6	8	07/20/00	PCT			
	AJ	0	0	5	2	7	9	3	09/08/00	РСТ			×
	AK	0	1_	0	5	3	1	6	01/25/01	PCT		- 11	1-10
	Al.	0	1	1	5	6	9	4	03/08/01	PCT			
	AM	0	1	4	3	8	2	5	06/21/01	PCT			
	AN	0	1	5	1	0	8	7	07/19/01	PCT			
	AO	0	1	7	8	2	1	6	10/18/01	PCT			
	AP	0	1	7	8	4	5	8	10/18/01	PCT			
$\neg \downarrow$	ΑQ	0	1	9	8	7	0	8	12/27/01	PCT		<u> </u>	

EXAMINER: Initial if citation considered, whether or not citation is a superformable. The International form with next communication to applicant.

THE NOVEL TREATMENT FOR EYE DISEASE

If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d). + Derwent English language abstract and/or English translation provided.

FORM PTO-1449	ATTY. DOCKET NO. 25886-0062	SERIAL NO. 09/760,362	CONFIRM. NO. 1582		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT Chen, James	CUSTOMER I 24961	NO.		
STATEMENT	FILING DATE January 12, 2001	GROUP 1644			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code		D	OCUM	IENT N	NUMB	ER		DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
Patt	AR	0	2	1	7	6	9	0	02/28/02	PCT				
1	AS	03	0	5	2	7	9	3	06/26/03	РСТ				
	AT	9	4	0	9	8	5	1	05/11/94	PCT				
	ΑU	9	5	o	5	2	1	4	02/23/95	РСТ				
_	AV	9	6	З	7	2	5	5	11/28/96	PCT				
	AW	9	7	3	2	5	2	0	09/12/97	PCT				
	AX	9	8	0	4	3	1	7	02/05/98	РСТ	4.0.			
	AY	9	8	0	8	5	6	5	03/05/98	PCT				
	ΑZ	9	8	1	4	2	4	3	04/09/98	PCT				
	ВА	9	8	2	4	5	1	0	06/11/98	PCT				
	BB	9	8	3	2	4	9	2	07/30/98	РСТ				
	вс	9	8	3	2	4	9	3	07/30/98	PCT				
	BD	9	8	4	6	1	3	0	10/22/98	РСТ				
	BE	9	8	5	0	0	3	4	11/12/98	PCT				
	BF	9	9	1	8	8	7	9	04/22/99	РСТ	,			
	BG	9	9	2	0	3	4	6	04/29/99	PČŤ				
	вн	9	9	3	9	7	6	9	08/12/99	РСТ				
\overline{V}	BI	9	9	6	6	9	8	8	12/29/99	PCT				

OTHER ART (Including Author	, Title, Date, Pertinent Pages, Etc.)
NONE	
Pt N Ife	8/25/04

EXAMINER: Initial of citation considered. Whether or not citation is at conformation with MPER 60% in line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: NOVEL TREATMENT FOR EYE DISEASE

^{*} If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application, that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120, 37 C.F.R. § 1.98(d).

⁺ Derwent English language abstract and/or English translation provided.

Attorney's Docket No.: 25886-0062/17105-026001

Applicant : CHEN, J.
Serial No. : 09/760,362
Filed : January 12, 2001

Supplemental Information Disclosure Statement

Page : 2 of 2

U.S.S.N. Filing Date Publication or Publication or Docket No. Issue No. Issue Date 09/592,150 06/12/00 09/23/03 0049 6,624,187 09/801,163 03/07/01 2002-0128303 09/12/02 0050 10/351,730 2003-0167033 09/04/03 01/23/03 0052 10/410,700 04/08/03 2003-0208249 11/06/03 0055D 10/802,284 03/16/04 0055E 10/091,144 03/04/02 2002-0127224 09/12/02 0066B 10/607,922 06/27/03 2004-0044197 03/04/04 0094 10/613,474 2004-0044198 07/02/03 03/04/04 0095

Int'l App. No.	Filing Date	Publication	Publication	Docket No.
		No.	Date	
PCT/US03/02303	01/23/03	WO03061696	07/31/03	0052PC
PCT/US03/20427	06/27/03	WO04002486	01/08/04	0094PC
PCT/US03/21179	07/02/03	WO04005289	01/15/04	0095PC
03010382.4	05/10/03			CHEN0188
PCT/US02/28149	11/25/02	WO03052793	06/26/03	CHEN0187

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman Registration No. 33,779

Dated: June 22, 2004
Please address all correspondence to:
Stephanie L. Seidman
Fish & Richardson P.C.
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Facsimile: (202) 626-7796
seidman@fr.com
10383422.doc

U.S.S.N. 09/760,362 CHEN Supplemental Information Disclosure Statement

Applicant also makes known to the Office the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common with the instant application.

Filing Date	Docket No.	Status	
06/12/00	0049	Pending	
05/09/02	P0051	Pending	
01/23/02	P0052	Pending	
01/15/99	0054	Pending	
03/18/99	0055	Pending	
07/13/01	0055B	Pending	
07/13/01	0057	Pending	
07/13/01	0060	Pending	
08/31/99	0061	Pending	
03/02/01	0066	Converted 1	to
		provisional c	on
		02/26/02	
03/04/02	0066B	Pending	
05/13/98	CHENO061	Pending	
09/11/98	CHEN0079	Pending	
06/19/00	CHENO131	Pending	
08/22/00	CHENO132	Pending	
Filing Date	Docket No.	Status	
12/05/00	CHEM0155	Pending	
	06/12/00 05/09/02 01/23/02 01/15/99 03/18/99 07/13/01 07/13/01 07/13/01 08/31/99 03/02/01 03/04/02 05/13/98 09/11/98 06/19/00 08/22/00	06/12/00 0049 05/09/02 P0051 01/23/02 P0052 01/15/99 0054 03/18/99 0055 07/13/01 0055B 07/13/01 0060 08/31/99 0061 03/02/01 0066 03/04/02 0066B 05/13/98 CHEN0061 09/11/98 CHEN0079 06/19/00 CHEN0131 08/22/00 CHEN0132	06/12/00 0049 Pending 05/09/02 P0051 Pending 01/23/02 P0052 Pending 01/15/99 0054 Pending 03/18/99 0055 Pending 07/13/01 0057 Pending 07/13/01 0060 Pending 08/31/99 0061 Pending 03/02/01 0066 Converted provisional of provisional

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing

in 3/ C.F.H. \$1.56(b) exists.

U.S.S.N. 09/760,362 Chen SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The documents cited on the Forms PTO-1449 and supplied herewith are in the English language with the exception of Item AJ. Item AJ (International PCT Publication No. WO0052793), which is in the German language, is supplied with an English language equivalent (Item E). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Office the following U.S. and international applications, which are commonly owned and/or have one or more inventors in common with the instant application.

U.S.S.N.	Filing Date	Publication No. or Issue No.	Publication or Issue Date	Docket No.
10/384,895	03/07/03			0044RI
10/385,378	03/07/03			0044BRI
09/592,150	06/12/00			0049
09/801,163	03/07/01			0050
10/351,730	01/23/03			0052
10/211,784	08/01/02	2002-0198576	12/26/02	0054C
09/271,575	03/18/99	6,602,274	08/05/03	0055
09/905,501	07/13/01	2002-0087205	07/04/02	0055B
10/317,269	12/10/02	2003-0109813	06/12/03	0055C
10/410,700	04/08/03			0055D
09/905,777	07/13/01	2002-0127230	09/12/02	0057
09/905,405	07/13/01	2003-0018371	01/23/03	0060
09/386,692	08/31/99	2003-0114434	06/19/03	0061
10/091,144	03/04/02			0066B

U.S.S.N. 09/760,362 Chen SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Unassigned	07/02/03	0095
09/150,821	09/11/98	CHEN0079
09/910,364	07/20/01	CHEN0078
09/644,253	08/22/00	CHEN0132
10/021,891	12/12/01	CHEN0145

Int'l App. No.	Filing Date	Publication No.	Publication Date	Docket No.
PCT/US03/02303	01/23/03			0052PC
Unassigned	06/27/03			0094PC
Unassigned	07/02/03			0095PC
PCT/US02/28149	11/25/02			CHENO187

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.